



The following information is provided as a guideline in determining whether or not you must seek registrations or licenses prior to engaging in any aspect of the **U.S. export process**. These guidelines are general, not all-inclusive, and may be subject to change. It is your responsibility to ensure your part of the export process is legally sound. Any violation of U.S. export laws could result in penalties, fines and incarceration.

INTERNATIONAL TRAFFIC IN ARMS REGULATIONS (22 CFR 120-130)

Registration with the U.S. State Department, Directorate of Defense Trade Controls, is required if you are engaged in one or more of the following business activities:

- Manufacturing defense articles or technical data
- Exporting defense articles or technical data
- Furnishing defense services, including brokering

A license from the U.S. State Department, Directorate of Defense Trade Controls, is required and must be adhered to if you attempt or conduct any of the following transactions involving U.S. or foreign defense articles, defense services and/or technical data:

- Temporarily or permanently importing or causing an import into the United States
- Temporarily or permanently exporting or causing an export out of the United States
- Re-exporting or retransferring from one foreign destination to another foreign destination
- Furnishing defense services
- Making technology available to foreign nationals for visual inspection, oral exchange, or by practice or application under the guidance of persons with knowledge of the technology
- Brokering any of the activities listed above

Contacts for the DDTC Response Team:

- Phone: (202) 663-1282
- E-Mail: DDTCResponseTeam@state.gov
- Website: www.pmdrtc.state.gov

EXPORT ADMINISTRATION REGULATIONS (15 CFR 730-774)

A license or license exemption from the U.S. Department of Commerce, Bureau of Industry and Security, is required and must be adhered to if you attempt or conduct any of the following transactions involving commodities subject to the Export Administration Regulations:

- Export and re-export of controlled items to listed countries
- Re-export and export from abroad of foreign-made items incorporating more than a de minimis amount of controlled U.S. content
- Re-export and export from abroad of the foreign-produced direct product of U.S. technology and software
- Engaging in actions prohibited by a denial order
- Export or re-export to prohibited end-uses or end-users
- Export or re-export to embargoed destinations
- Support of proliferation activities
- In transit shipments and items to be unladen from vessels or aircraft
- Violation of any order, terms, and conditions
- Making technology available to foreign nationals for visual inspection, oral exchange, or by practice or application under the guidance of persons with knowledge of the technology
- Proceeding with transactions with knowledge that a violation has occurred or is about to occur

Contacts for the Office of Exporter Services:

- Phone: 408-291-4212
- E-Mail: Questions can be submitted through <https://www.bis.doc.gov/Forms/biswestinquiry.html>
- Website: www.bis.gov

OFFICE OF FOREIGN ASSETS CONTROL SANCTIONS AND EMBARGOES (31 CFR 501-598)

A general or specific license is required from the U.S. Department of Treasury, Office of Foreign Assets Control, is required and must be adhered to if you attempt or conduct any trade or financial transaction with any individual or entity included in any OFAC Sanction Program list located on the following U.S. Treasury web pages:

- Country Sanctions Programs - www.treas.gov/offices/enforcement/ofac/programs/index.shtml
- List-Based Sanctions Programs - www.treas.gov/offices/enforcement/ofac/programs/index.shtml
- Specially Designated Nationals List - www.treas.gov/offices/enforcement/ofac/sdn/index.shtml

Contacts for the OFAC Licensing Division:

- Phone: 1-202-622-2480
- E-Mail: ofac_feedback@do.treas.gov
- Website: www.treas.gov/offices/enforcement/ofac/