

28th Annual ECCO Training



2016 BIOS

Lesa Brownell

Import Export Compliance Leader, Honeywell Federal Manufacturing & Technologies

Lesa came to Honeywell FM&T in 2011 with over 25 years of international business experience. Prior to this position, Lesa worked as an independent contractor traveling worldwide assisting companies in the development of their export compliance programs. In her current position, Lesa is responsible for implementing compliance for imports and exports, technical reviews, and providing compliance training. She works closely with counter intelligence, cyber security and the nuclear enterprise assurance groups to approve suppliers prior to release of export controlled information.

Tod Caldwell

U.S. Department of Energy National Nuclear Security Administration (NNSA)

Tod Caldwell manages domestic export controls for dual use goods and technology as part of U.S. intergovernmental efforts, as well as interdiction efforts with the interagency. As a member of the NNSA's Export Control Review and Compliance Guidance and Interdiction (ECRC-I) Program since 2008, Dr. Caldwell has led efforts to optimize activities and reviews in identifying key issues, practices and policies on export controls as well as supporting interdiction activities. The primary goal of this program is to ensure legitimate trade and exchanges while aiding efforts against proliferation, i.e., illicit trafficking of materials and technology.

Dr. Caldwell holds a Ph.D. in Physics from Florida State University (Tallahassee, FL), was a post-doc at Los Alamos National Laboratory, worked for National Security Technologies at Nellis AFB, Nevada Nuclear Security Site, and Andrews AFB.

W.D. (Bill) Chappell

Y-12 Empowered Official / Export Compliance Manager, Y-12 National Security Complex

Bill Chappell earned a Bachelor's of Science in Mechanical Engineering from the University of Virginia, followed by a Master's in Business from Boston University (Cum Laude). Prior to joining Y-12 in 1991, Bill worked as Engineer, Engineering Manager, and Plant Manager at two different manufacturing plants. At Y-12, prior to becoming Export Compliance Manager in 1998, Bill was a Contracts Manager working in areas of award fee, metrics, command media, and compliance documents. As Export Compliance Manager/Empowered Official, Bill developed the Y-12 Export Compliance Program covering areas such as document reviews, high risk property reviews, foreign visits and assignments, foreign travel, procurement, mutual defense agreements, international nonproliferation programs, foreign shipments and Technology Transfers. Bill has completed numerous government export and nonproliferation training programs including U.S. Department of Commerce, U.S. Department of State, U.S. Nuclear Regulatory Commission, U.S. Department of Energy and the

National Nuclear Security Administration. Bill has also been a derivative classifier for Y-12 for over 20 years, and co-authored the 2013 NAP-23 for NNSA. Bill has served as the Vice Chair and Chair of ECCO and this is his 18th consecutive and final year attending ECCO Training.

Marci Copeland

Export Control Officer, University of California, Irvine (UCI)

Marci Copeland, Export Control Officer, in conjunction with the campus Export Control Advisory Committee, directs and advises the UCI campus community in regard to export control compliance. She has worked for UCI for nine years in export control compliance and training. From 2013-2015 she also worked at University of California, Los Angeles (UCLA) supporting the export control program there. Marci earned an MBA at Pepperdine University in 2002 and a Bachelor of Art in History from UCI in 1997. She has been a speaker on export controls at the National Council of University Research Administrators (NCURA), SRA International, the University of California, system wide Compliance Symposium and at the Association of University Export Control Officers (AUECO) annual conference. Before joining UCI in 2007 Marci worked in industry in the training field. Marci lives with her husband and two children in Signal Hill, CA.

Godfrey Guerzon

Homeland Security Investigations

Godfrey Guerzon began his career as a Homeland Security Investigations (HSI) Special Agent in 2001, conducting customs violation cases while assigned to groups at SeaTac International Airport and the Port of Tacoma. In 2004, Godfrey transferred to HSI Seattle's Counter-Proliferation Investigations Group, which enforces U.S. export laws involving weapons and sensitive technologies.

Godfrey is currently the Manager of HSI Seattle's Undercover Operations Program. The program supports undercover agents and covert activities focused on targets within the HSI mission areas of Counter-Proliferation, Human Smuggling and Trafficking, Cyber Crime Related to Child Exploitation, and Intellectual Property Rights.

As an instructor for the U.S. Department of State's Export Control and Related Border Security (EXBS) Program, Godfrey has trained foreign government officials in Taiwan, Macedonia, Moldova and the Philippines on topics such as export investigations, undercover operations, and first response to critical incidents.

Prior to joining HSI, Godfrey served in the U.S. Air Force as a counterintelligence officer with the Office of Special Investigations (OSI). His duty stations included Tinker Air Force Base, Oklahoma; Los Angeles Air Station, California; Osan Air Base, Republic of Korea; and McChord Air Force Base, Washington.

Godfrey is a graduate of the U.S. Air Force Academy, earning a Bachelor's Degree in Biology in 1992. He also received a Master's Degree in Human Relations from the University of Oklahoma in 1999.

Gary Hagen

Pacific Northwest National Laboratory

Gary Hagen is an export controls professional at Pacific Northwest National Laboratory and is a former Chair of ECCO and coordinated the 2013 ECCO Annual Training Seminar in Washington, D.C. Immediately prior to joining PNNL in 2010, he had been both the Export Controls Officer and the Congressional Liaison for Idaho's largest research university. And before that, he flew P-3C Orion aircraft as an active duty Naval Flight Officer for 26 years.

Similar to many large research universities, PNNL is largely comprised of an open-style research campus. And as with university campuses, at any one point in time, nearly 20 percent (or more) of the personnel at the Lab can be foreign persons. While a large percentage of projects at PNNL are fundamental research, other projects are not. In addition, even some of those fundamental research projects have been conducted utilizing equipment subject to the ITAR, or subject to the 600 series of the CCL. Classification and licensing issues abound.

Gretchen Hund

Director, Center for Global Security Senior Scientist, Pacific Northwest National Laboratory

Ms. Hund has 30 years of experience addressing topics that combine science, technology, and public policy issues. For the past eight years her research has focused on engaging companies that are part of the nuclear commodity supply chain to take a more proactive approach in controlling and securing their goods and services so that they do not end up in the wrong hands --industry self-regulation in support nuclear nonproliferation. Specifically she has assessed approaches for rewarding companies that go beyond compliance for supporting nonproliferation. She has also investigated how a third party might be used by companies to report on possible illicit procurement attempts and to share best practices among member companies.

From 1985-1990, Ms. Hund was a senior analyst at the US Congressional Office of Technology Assessment, specializing in environmental issues including radioactive waste management and wastes in the marine environment.

Ms. Hund has a BA in Geology from Middlebury College and an MS in Political Science with a concentration in science, technology, and public policy from the Massachusetts Institute of Technology.

Gary R. Langlie

Licensing Officer, Office of International Programs, U.S. Nuclear Regulatory Commission

Gary is an Export and Import Licensing Officer at the U.S. Nuclear Regulatory Commission (NRC) in the Export Controls and Nonproliferation Branch, Office of International Programs. Gary's primary responsibilities include reviewing licensing applications, drafting Commission level papers for the export of HEU, coordinating DOE/NNSA Part 810 submissions to the agency and drafting responses to NNSA Subsequent Arrangements, and working with State Department on requests for assurance obligations relating to transfers of nuclear technology information from foreign companies to U.S. industry. Gary has also managed NRC Executive Order mandated programs relating to classified programs, original and derivative classifier training, and worked with the Quadripartite countries in setting up the regulatory framework to allow construction and operation of the first uranium enrichment plant (European centrifuges) in the U.S. in decades. Prior to joining the NRC, Gary was the Security Manager of a DOD-cleared company (under FOCI) that performed in the DOD/IC world and he also worked in residential real estate sales. Gary started his career as an Army Intelligence Officer working on the East/West German border as well as working at the Army Operations Center, Pentagon and the White House Situation Room as a Senior Duty Officer. Gary has a B.S. in Economics from Colorado State University.

David Long

Pacific Northwest National Laboratory

Dave has been at PNNL in various capacities since 1995 and with the Intellectual Property Law group since 1999 handling software copyrights and licensing. The IPL group has been working toward making the licensing process as streamlined as possible within PNNL's legal and contractual obligations.

Mr. Long has a BS from Willamette University and a JD from Boston University. He is a member of Washington State and Massachusetts Bars.

Alexander K. Lopes, Jr.

Director, Office of Nonproliferation and Treaty Compliance, Bureau of Industry and Security

Alex Lopes joined the Commerce Department's Bureau of Industry and Security in 2002 as an export licensing officer. He was appointed to the Senior Executive Service and selected to his current position as Director, Office of Nonproliferation and Treaty Compliance in August 2008. His office is responsible for developing, refining and implementing export controls on dual use items that may contribute to the development of nuclear, chemical or biological weapons and for missile delivery systems. He is also responsible for administering U.S. unilateral export controls including exports of items controlled for protection of human rights and export controls associated with international sanctions. His Office represents the Department in multilateral export control regimes including the Australia Group for controls of dual use chemical and biological items, the Nuclear Suppliers Group and the Missile Technology Controls Regime. His office has a leading role in the Bureau's effort to engage foreign governments and industries to strengthen and align their domestic and internal export controls with international norms to enhance compliance with the Export Administration Regulations and insure U.S. origin items are not diverted to countries, end users and end uses of proliferation concern. In addition to export control responsibilities, his office also has cognizance over U.S. industry compliance with international arms control treaties including the Chemical Weapons Convention and Additional Protocol to the Nuclear Nonproliferation Treaty.

During his tenure as Office Director, he was awarded the Department of Commerce Gold Medal for his technical expertise in implementation of President Obama's directive to reform the United States' export control system to address the national security challenges of the 21st century. He was also awarded the Department of Commerce Silver Medal for transforming export control cooperation and furthering the bilateral trade and security relationship with India.

Prior to assuming his current responsibilities, Mr. Lopes was the Director, Deemed Exports and Electronics Division in the Office of National Security and Technology Transfer Controls. He was awarded the Department of Commerce Bronze Medal for developing and implementing outreach and compliance programs related to the release of export controlled technology to foreign nationals in the United States.

Prior to joining the Commerce Department, Mr. Lopes served in the United States Navy as a nuclear submariner and was a high school physics teacher. He holds a Master of Education Degree from George Mason University and a Bachelor of Science in Systems Engineering from the United States Naval Academy.

Karla Niemi

Export Control Analyst, Los Alamos National Laboratory

Karla brings more than 18 years of import/export compliance management experience. Her background covers a wide range of regulatory knowledge and expertise. A licensed U.S. Customs Broker since 2000, Karla is adept at helping organizations navigate every aspect of their import/export business. She has broad expertise in preparing and securing Commodity Jurisdiction Requests, Advisory Opinions, ITAR/EAR licenses, and Technical Assistance Agreements (TAAs). She also has significant knowledge of securing approvals for technology transfers, Foreign Person employment, and deemed export management/compliance for a variety of research and development projects. Karla holds Masters of International Business and Bachelor of Business Administration-Management degrees from New Mexico Highlands University. She works at Los Alamos National Laboratory and lives in Santa Fe, New Mexico.

Mike Schlender

Deputy Director, Operations & Chief Operating Officer, Pacific Northwest National Laboratory

Michael Schlender is responsible for operational performance assurance and risk assessment for the Laboratory as it relates to nuclear and non-nuclear facility stewardship; strategic campus development, security, safety, environment, and overall operational excellence.

Before returning to PNNL in 2003, Mike served as Deputy Manager for DOE's Richland Operations Office. In this role, he provided oversight for an annual cleanup budget of \$1 billion, and coordinated federal and contractor services for the operational needs of the Hanford Site. Prior to his assignment with DOE, he served as Assistant Laboratory Director for Environmental Management at Brookhaven National Laboratory. In this capacity he was responsible for BNL's waste management operations; environmental cleanup; and nuclear facility decommissioning, deactivation, and demolition activities.

Since joining PNNL in 1992, Mike has served in a number of senior relationship management and project management positions. Prior to PNNL, he served as Program Manager and Senior Project Manager, respectively for Golder Associates in Sydney, Australia, and Golder Associates USA, conducting hazardous waste site investigations and cleanup work in the U.S., Canada, Southeast Asia and Australia.

A native of Washington, Mike began his career working for the Washington State Department of Ecology, the Oregon Department of Environmental Quality, and the United States Environmental Protection Agency. He holds a B.S. degree in Chemistry/Biochemistry from Eastern Washington University and a M.S. degree in Chemistry/Environmental Science from Western Washington University.

Kevin Whattam

Pacific Northwest National Laboratory

Kevin has worked at Pacific Northwest National Laboratory for 22 years as a researcher, manager and senior technical advisor; for the past 10 years Kevin's work has primarily focused in the field of export control and nonproliferation.

At PNNL, Kevin provides expert technical analysis to the Laboratory's Export Control Compliance Office, where he reviews technical projects and property for export classification determination, and provides strategic advice to management on developing more robust lab capabilities for ensuring affective export control compliance and awareness. In addition to this effort, Kevin is the PNNL manager for NNSA's Domestic Export Control Enforcement Program; where he leads a PNNL team providing export control technical support to US law enforcement agencies. Kevin is a Lecturer at the University Of Washington Jackson School of International Affairs where he teaches a senior level undergraduate/graduate course on Export Control and Illicit Nuclear Trafficking. Along with his work in export control, Kevin is the PNNL Relationship Manager for the Office of Nuclear Threat Science (NNSA-NA82) where he works closely with PNNL technical experts to deliver cutting edge science in the areas of material characterization and explosives forensics to this NNSA Office.

During his tenure in export control, Kevin has served as the US Department of Energy's Technical Lead for export control cooperation in the Middle East; Southeast Asia, China, Japan and Korea; the PNNL manager for NNSA's Export Licensing Program (providing technical analysis of U.S. DOC license applications) technical lead for the International Technology Assessment Group; and as the DOE/NNSA technical lead for the US DOE/Republic of Korea Memorandum of Cooperation on Export Control. Over the course of his career Kevin has worked on the US DOE International Nuclear Safety Program, providing technical upgrades at Soviet-designed nuclear power plants; led a US/Ukraine/Russian R&D program to develop gamma irradiation technology, and as the chief spokesperson for plant operations at Washington State's commercial nuclear power plant. Kevin completed his master's in technology management from Cambridge University (UK); BS/BJ from University of Missouri and AE from Linn State. Kevin is a Massachusetts Institute of Technology (MIT) Seminar XXI Fellow.